Rinehart-Thompson, Laurie A., "Legal Issues in Health Information Technology", in Johns, Merida L. (ed.) Health Information Management Technology, an Applied Approach, AHIMA: Chicago, IL, 2nd ed. 2007, chapter 15, sections 15.3-5 pp. 700 – 738

1. HIPAA regulations:

- a. Never preempt state statutes
- b. Always preempt state statutes
- c. Preempt less strict state statutes where they exist
- d. Preempt stricter state statutes where they exist
- 2. Protected health information (PHI):
  - a. Relates to one's past, present, or future mental health condition
  - b. Relates to one's past, present, or future physical condition
  - c. Relates to payment for the provision of healthcare
  - d. All of the above
- 3. The Privacy Rule extends to protected health information:
  - a. In any form or medium, except paper and oral forms
  - b. In any form or medium, including paper and oral forms
  - c. That pertains to mental health treatment only
  - d. That exists in electronic form only

4. The term *minimum necessary* means that healthcare providers and other covered entities must limit use, access, and disclosure to the minimum necessary to:

- a. Satisfy one's curiosity
- b. Accomplish the intended purpose
- c. Treat an individual
- d. Perform research
- 5. The Privacy Rule applies to:
  - a. All covered entities involved, either directly or indirectly, with transmitting or performing any electronic transactions specified in the act
  - b. Healthcare providers only
  - c. Only healthcare providers that receive Medicare reimbursement
  - d. Only entities funded by the federal government
- 6. Business associate agreements are developed to cover the use of PHI by:
  - a. The covered entity's employees
  - b. Organizations outside the covered entity's workforce that use PHI to perform functions for the covered entity
  - c. The covered entity's entire workforce

- d. The covered entity's janitorial staff
- 7. A covered entity's workforce can include:
  - a. Employees
  - b. Volunteers
  - c. Employees of contractors
  - d. All of the above
- 8. De-identified information:
  - a. Does not identify an individual
  - b. Is information from which personal characteristics have been stripped
  - c. Cannot be later constituted or combined to re-identify an individual
  - d. All of the above

9. Under the Privacy Rule, a code to re-identify de-identified information:

- a. Is never allowed
- b. Is allowed if it cannot be translated to the individual's identity
- c. May disclose the mechanism for re-identification
- d. None of the above

10. Under HIPAA privacy regulations, a patient does not have the right to access his or her:

- a. History and physical report
- b. Operative report
- c. Discharge summary
- d. Psychotherapy notes

11. The Privacy Rule establishes that a patient has the right of access to inspect and obtain a copy of his or her PHI:

- a. For as long as it is maintained
- b. For six years
- c. Forever
- d. For twelve months

12. A provider may deny a patient's request to review and copy his or her health information if:

- a. The patient agreed to temporarily suspend access during a research study
- b. The patient requests his psychotherapy notes
- c. A licensed healthcare professional determines that access to PHI would endanger the life or physical safety of the patient or another person
- d. All of the above

13. The Privacy Rule specifies that a covered entity must act on an individual's request for review of a copy of PHI no later than how long after the request is made:

- a. Ninety days
- b. Sixty days
- c. Thirty days
- d. Six weeks

14. An individual's request that a covered entity attach an amendment to his or her health record:

- a. Must always be honored
- b. Can always be denied
- c. Can be denied if the PHI in question was not created by the covered entity
- d. Must be acted on no later than six months after the request was made
- 15. An accounting of disclosures must include disclosures:
  - a. To carry out treatment, payment, and operations
  - b. For use in the facility's patient directory
  - c. To the individual about whom the information pertains
  - d. None of the above

16. The Privacy Rule states that an individual has the right to receive an accounting of certain disclosures made by a covered entity:

- a. Within the twelve months prior to the date on which the accounting is requested
- b. Since the covered entity came into existence
- c. Within the six years prior to the date on which the accounting is requested
- d. None of the above

17. When an individual requests that PHI be routed to an alternative location:

- a. A health plan may decline such a request if no reason is given
- b. Both health plans and healthcare providers may deny a request if it is unreasonable
- c. Both health plans and healthcare providers may deny a request if no alternative contact information is provided
- d. All of the above
- 18. A notice of privacy practices:
  - a. Is to be given to patients upon their first contact with the covered entity
  - b. Does not have to be given to inmates who are patients
  - c. Explains an individual's rights under the HIPAA Privacy Rule
  - d. All of the above
- 19. The Privacy Rule requires that individuals be able to:
  - a. Request restrictions on certain uses and disclosures of PHI
  - b. Request amendment of their PHI
  - c. Receive a paper copy of the notice of privacy practices
  - d. All of the above

- 20. A valid authorization must contain:
  - a. A description of the information to be used or disclosed
  - b. An expiration date or event
  - c. A statement that the information being used or disclosed may be subject to redisclosure by the recipient
  - d. All of the above
- 21. When a covered entity has given a patient a notice of privacy practices:
  - a. A consent to use or disclose information for purposes of treatment, payment, or operations is not required
  - b. A consent to use or disclose information for purposes of treatment, payment, or operations is also required
  - c. An authorization to use or disclose information for the purpose of treatment, payment, or operations is also required
  - d. No authorizations are required for any subsequent use or disclosure of PHI
- 22. An individual may:
  - a. Revoke an authorization in writing
  - b. Never revoke a valid authorization
  - c. Not specify an expiration date on an authorization
  - d. None of the above
- 23. Disclosure in a facility's patient directory:
  - a. Can occur only with the patient's written authorization
  - b. Is automatic upon a patient's admission to a healthcare provider
  - c. Is subject to the patient having had the opportunity to informally agree or object
  - d. Can include all PHI in the patient's designated record set
- 24. Under the Privacy Rule, the release of PHI to a patient's relatives is:
  - a. Never allowed
  - b. Allowed when the information is directly relevant to their involvement with the patient's care or treatment
  - c. Allowed only if the patient is declared incompetent by a court of law
  - d. None of the above
- 25. Release of birth and death information to public health authorities:
  - a. Is prohibited without patient consent
  - b. Is prohibited without patient authorization
  - c. Is a "public interest and benefit" disclosure that does not require patient authorization
  - d. Requires both patient consent and authorization

- 26. The Privacy Rule "public interest and benefit" purposes include:
  - a. Facilitating organ donations
  - b. Information about decedents
  - c. Information provided to law enforcement
  - d. All of the above
- 27. The Privacy Rule permits use or disclosure without written patient authorization:
  - a. For specific Jaw enforcement purposes specified by the Privacy Rule
  - b. For incidental disclosures
  - c. To prevent or lessen serious threats to health or safety
  - d. All of the above
- 28. The use or disclosure of PHI for marketing:
  - a. Always requires written authorization from the patient
  - b. Does not require written authorization for face-to-face communications with the individual
  - c. Requires written authorization from the patient when products or services of nominal value are introduced
  - d. None of the above
- 29. With regard to training in PHI policies and procedures:
  - a. Every member of the covered entity's workforce must be trained
  - b. Only individuals employed by the covered entity must be trained
  - c. Training only needs to occur when there are material changes to the policies and procedures
  - d. Documentation of training is not required

30. The privacy officer is responsible for:

- a. Handling complaints about the covered entity's violations of the Privacy Rule
- b. Developing and implementing privacy policies and procedures
- c. Providing information about the covered entity's privacy practices
- d. All of the above